

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (with all attachments) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to BOX MISSING PARTS, Initial Patent Examination Division, Commissioner for Patents, Washington, D.C. 20231 on June 26, 2002.

Paul Y. Feng

Registration No. 35,510



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the application of:	) Examiner: Unknown
Inventors: William E. Webler	) Group Art No.: 1732
Michael D. Whitt	) Old Docket No.: 9601-0250
Marc M. Jalisi	)
Andrej M. Chudy	)
Kevin M. Phillips	) New Docket No. ACS-60270 (2022P)
Marc L. Speck	)
Serial No.: 10/025,334	)
Date Filed: December 18, 2001	)
For: METHOD OF FORMING AN OPTICAL	) June 26, 2002
WINDOW FOR AN INTRACORPOREAL	)
DEVICE AND FOR JOINING PARTS	) Los Angeles, California

**SUBMISSION OF MISSING PARTS OF NONPROVISIONAL APPLICATION**

BOX MISSING PARTS  
Initial Patent Examination Division  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The undersigned have become the attorneys of record for the prosecution of this application. Enclosed with this paper is a new Power Of Attorney/Revocation Of Prior Powers to be made of record.

In response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted, mailed March 20, 2002 (copy attached), for which the deadline for response has been extended to July 20, 2002 by the attached Petition For Two-Month Extension Of Time, applicant submits herewith an original executed Declaration and Power of Attorney for the above-identified application.

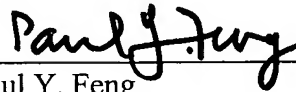
Applicant also submits herewith an original executed Assignment for recordation.

Enclosed is our check in the amount of \$570.00 to cover the two-month extension of time (\$400.00), large entity surcharge (\$130.00) and assignment recordation fee (\$40.00).

The Commissioner is authorized to credit any overpayment or charge any additional fees in this matter to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP



Paul Y. Feng

Registration No. 35,510

Attorneys for Applicant

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PYF:gbr

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## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,334	12/18/2001	William E. Webler	9601-0250

**AC5-60270(2022P)**  
CONFIRMATION NO. 3477

COUDERT BROTHERS LLP  
600 Beach Street, 3rd Floor  
San Francisco, CA 94109-1314

RECEIVED

MAR 1 - 2002

## FORMALITIES LETTER



\*OC000000007676846\*

Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*Tedus*

Customer Service Center

Initial Patent Examination Division (702) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/05/2002 MAHMED1 00000092 10025334

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130.00 0P

MP/8

07-09-02

04/CO

PTO/SB/21 (08-00)  
 Approved for use through 10/31/2002. OMB 0651-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/025,334
Filing Date	12/18/2001
First Named Inventor	William E. Webler et al.
Group Art Unit	1732
Examiner Name	Unknown
Attorney Docket Number	ACS-60270 (2022P)

Total Number of Pages in This Submission **38**

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☒ Affidavits/declaration(s)
- ☒ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☒ Response to Missing Parts/ Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
**Return postcard**

Remarks



24201

PATENT TRADEMARK OFFICE

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Paul Y. Feng For FULWIDER PATTON LEE & UTECHT, LLP	Reg. No. 35,510
Signature	<i>Paul Y. Feng</i>	
Date	06/26/2002	

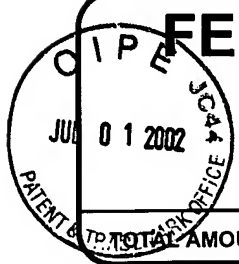
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **June 26, 2002**

Typed or printed name	Paul Y. Feng		
Signature	<i>Paul Y. Feng</i>	Date	06/26/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 570.00

## Complete if Known

Application Number	10/025,334
Filing Date	12/18/2001
First Named Inventor	William E. Webler et al.
Examiner Name	Unknown
Group Art Unit	1732
Attorney Docket No.	ACS-60270 (2022P)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 06-2425
- Deposit Account Name Fulwider Patton Lee & Utecht, LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101 740	201 370		Utility filing fee	
106 330	206 165		Design filing fee	
107 510	207 255		Plant filing fee	
108 740	208 370		Reissue filing fee	
114 160	214 80		Provisional filing fee	

SUBTOTAL (1) (\$ ) 0.00

## 2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
103 18	203 9		Claims in excess of 20	
102 84	202 42		Independent claims in excess of 3	
104 280	204 140		Multiple dependent claim, if not paid	
109 84	209 42		** Reissue independent claims over original patent	
110 18	210 9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
105 130	205 65		Surcharge - late filing fee or oath	130.00
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	
139 130	139 130		Non-English specification	
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	
115 110	215 55		Extension for reply within first month	
116 400	216 200		Extension for reply within second month	400.00
117 920	217 460		Extension for reply within third month	
118 1,440	218 720		Extension for reply within fourth month	
128 1,960	228 980		Extension for reply within fifth month	
119 320	219 160		Notice of Appeal	
120 320	220 160		Filing a brief in support of an appeal	
121 280	221 140		Request for oral hearing	
138 1,510	138 1,510		Petition to institute a public use proceeding	
140 110	240 55		Petition to revive - unavoidable	
141 1,280	241 640		Petition to revive - unintentional	
142 1,280	242 640		Utility issue fee (or reissue)	
143 460	243 230		Design issue fee	
144 620	244 310		Plant issue fee	
122 130	122 130		Petitions to the Commissioner	
123 50	123 50		Processing fee under 37 CFR 1.17(q)	
126 180	126 180		Submission of Information Disclosure Stmt	
581 40	581 40		Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370		Request for Continued Examination (RCE)	
169 900	169 900		Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 570.00

## SUBMITTED BY

Name (Print/Type)

Paul Y. Feng

Registration No.  
(Attorney/Agent)

35,510

## Complete (if applicable)

Telephone

(310) 824-5555

Signature

Date

06/26/2002

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